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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors ..... Sharad Mathur et al.  
 Applicant ..... Microsoft Corporation  
 Attorney's Docket No. .... MS1-151US  
 Title: Controlling Memory Usage in Systems Having Limited Physical Memory

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,  
 Washington, D.C. 20231

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The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Express Mailing
2. Specification—title page listing inventors Sharad Mathur, Michael Ginsberg, Thomas Fenwick, Anthony Kitowicz, William H. Mitchell, and Jason Fuller, plus 29 pages, including claims 1-40 and Abstract
3. 3 Sheets Formal Drawings (Figs. 1-3)
4. Return Post Card

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Date: 5/6/97

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Date: May 6, 1997

By: Helen M. Hare  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**Controlling Memory Usage in Systems Having Limited  
Physical Memory**

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ATTORNEY'S DOCKET NO. MS1-151US

1 **TECHNICAL FIELD**

2 This invention relates to computer systems having virtual memory systems  
3 that utilize limited physical memory and no secondary storage.  
4

5 **BACKGROUND OF THE INVENTION**

6 Most modern operating systems implement virtual memory. Virtual  
7 memory is a seemingly large amount of memory that allows individual application  
8 programs in a multi-tasking system to use respective, dedicated address spaces.  
9 Each dedicated address space includes addresses from zero to some large number  
10 that depends on the particular characteristics of the operating system and the  
11 underlying hardware.

12 In a virtual memory system, an application program is assigned its own  
13 virtual address space, which is not available to other application programs.  
14 Through its virtual memory, a process has a logical view of memory that does not  
15 correspond to the actual layout of physical memory. Each time a program uses a  
16 virtual memory address, the virtual memory system translates it into a physical  
17 address using a virtual-to-physical address mapping contained in some type of  
18 look-up structure and address mapping database.

19 Rather than attempting to maintain a translation or mapping for each  
20 possible virtual address, virtual memory systems divide virtual and physical  
21 memory into blocks. In many systems, these blocks are fixed in size and referred  
22 to as sections or pages. Data structures are typically maintained in physical  
23 memory to translate from virtual page addresses to physical page addresses.  
24 These data structures often take the form of conversion tables, normally referred to  
25 as page tables. A page table is indexed by virtual page address or number, and

generally has a number of entries corresponding to pages in the virtual address space. Each entry is a mapping of a specific page number or virtual page address to a physical page address.

In most virtual memory systems, physical memory includes some form of secondary storage such as a hard disk. When primary, electronic memory becomes full, physical memory pages are moved to the disk until they are accessed again. This process is referred to as paging. Assuming that the hard disk has a large capacity, paging allows the simulation of seemingly unlimited physical memory.

Although hard disks are common, and are becoming less and less expensive, there is a new generation of computers that implement virtual memory systems without the benefit of secondary storage. Currently, these computers primarily comprise so-called handheld computers or "H/PCs" (handheld PCs). H/PCs typically have a limited amount of non-volatile addressable memory such as battery-backed dynamic RAM (random access memory). Some of this memory is allocated for program execution, while the remaining memory is used to implement a file system. While more capable H/PCs might include actual hard disk storage, this is not the usual situation.

These computers impose new restrictions on the use of virtual memory. In systems that included secondary storage, there was little danger of exhausting physical memory since it could be paged to disk. In an H/PC, however, care must be taken to conserve memory usage. In a multi-tasking system, it is possible to launch a program that competes with other programs and with the operating system for available memory. If any particular program makes high memory demands, it is conceivable that other programs might find themselves without

1 enough memory to continue. Even worse, it is possible that the operating system  
2 itself could be unable to obtain needed memory, thereby causing a system crash.

3 It would be desirable to limit memory usage only when required, rather  
4 than prospectively limiting application programs to prescribed memory usage  
5 limits. However, it would also be desirable to prevent application programs from  
6 threatening system stability. The system and methods described below  
7 accomplish these goals.

### 8 9 **SUMMARY OF THE INVENTION**

10 The invention is implemented within an operating system that continually  
11 or periodically monitors memory usage. Three usage thresholds are established,  
12 and different actions are taken as increasingly critical memory usage thresholds  
13 are reached. At each threshold, the objective is to free memory so that the next  
14 higher threshold is avoided.

15 At the first, least critical threshold, one or more application programs are  
16 simply requested to minimize their memory usage. The request is issued to the  
17 least recently active programs, through their Windows® message loops. The  
18 applications can respond to the requests as they deem appropriate. Well-behaved  
19 applications will take steps to release resources as much as possible.

20 At the second, more critical threshold, the operating system closes one or  
21 more of the application programs. The application is closed using a standard  
22 operating system mechanism that allows the application to shut down in an orderly  
23 fashion, while saving files and performing any other necessary housekeeping.

24 At the third, most critical threshold, the operating system simply terminates  
25 one or more of the application programs. The application program's thread(s) are

1 destroyed and all resources previously used by the application program are  
2 released. The application program is given no opportunity to clean up, to save  
3 files, or to perform any other housekeeping chores.

4 Other measures are potentially employed before taking any of the three  
5 measures described above. For example, an attempt is made to reclaim any  
6 unused stack space. In addition, read-only memory pages are discarded. Such  
7 preventative measures are preferably initiated at further memory usage thresholds.  
8 These further thresholds preferably have a fixed relationship to the first, second,  
9 and third thresholds noted above.

#### 10 11 **BRIEF DESCRIPTION OF THE DRAWINGS**

12 Fig. 1 is shows a handheld computing device 20 in accordance with one  
13 embodiment of the invention.

14 Fig. 2 is a block diagram of the device shown in Fig. 1.

15 Fig. 3 is a simplified flow chart showing major steps in accordance with the  
16 invention.

#### 17 18 **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

19 Fig. 1 shows a handheld computing device 20 such as might be utilized to  
20 implement the invention. As used herein, "handheld computing device" means a  
21 small computing device having a processing unit that is capable of running one or  
22 more application programs, a display, and an input mechanism that is typically  
23 something other than a full-size keyboard. The input mechanism might be a  
24 keypad, a touch-sensitive screen, a track ball, a touch-sensitive pad, a miniaturized  
25 QWERTY keyboard, or the like.

1 The handheld computing device 20 of Fig. 1 is also referred to as a  
2 handheld personal computer (H/PC). However, in other implementations, the  
3 handheld computing device may be implemented as a personal digital assistant  
4 (PDA), a personal organizer, a palmtop computer, a computerized notepad, or the  
5 like. The invention can also be implemented in other types of computers and  
6 computer-like or computer-controlled devices.

7 H/PC 20 has a casing 22 with a cover or lid 24 and a base 26. The H/PC  
8 has a liquid crystal display (LCD) 28 with a touch-sensitive screen mounted in lid  
9 24. The lid 24 is hinged to base 26 to pivot between an open position, which  
10 exposes screen 28, and a closed position, which protects the screen. The device is  
11 equipped with a stylus 30 to enter data through the touchscreen display 28 and a  
12 miniature QWERTY keyboard 32. Both of these components are mounted in base  
13 26. H/PC 20 can also be implemented with a wireless transceiver (not shown)  
14 such as an IR (infrared) transceiver and/or an RF (radio frequency) transceiver.  
15 Although the illustrated implementation shows a two-member H/PC 20 with a lid  
16 24 and a base 26, other implementations of the H/PC might comprise an integrated  
17 body without hinged components, as is the case with computerized notepads (e.g.,  
18 Newton® from Apple Computers).

19 Fig. 2 shows functional components of the handheld computing device 20.  
20 It has a processor 40, addressable memory 42, a display 28, and a keyboard 32. At  
21 least a portion of memory 42 is non-volatile. The memory is divided into a  
22 physical memory portion that is addressed by processor 40 for program execution,  
23 and a file portion that is used to implement a file system.

24 An operating system 44, executed by processor 40, is resident in and  
25 utilizes memory 42. H/PC 20 preferably runs the Windows® CE operating system

1 from Microsoft Corporation. This operating system is a derivative of Windows®  
2 brand operating systems, such as Windows® 95, that is especially designed for  
3 handheld computing devices. The invention is implemented within the  
4 Windows® CE operating system—the operating system includes instructions that  
5 are executable by processor 40 to implement the features and to perform the  
6 specific steps described below.

7 The Windows® CE operating system is a multitasking operating system  
8 that allows simultaneous execution of multiple applications 45. The operating  
9 system employs a graphical user interface windowing environment that presents  
10 applications and documents in specially delineated areas of the display screen  
11 called “windows.” Each window can act independently, including its own menu,  
12 toolbar, pointers, and other controls, as if it were a virtual display device. It is  
13 noted, however, that the handheld computing device may be implemented with  
14 other types of operating systems.

15 The H/PC 20 has a power supply 46 that supplies power to the electronic  
16 components. The power supply 46 is preferably implemented as one or more  
17 batteries. The power supply 46 might further represent an external power source  
18 that overrides or recharges the built-in batteries, such as an AC adapter or a  
19 powered docking cradle.

20 The Windows® CE operating system provides many of the same  
21 capabilities of more sophisticated operating systems such as Microsoft Windows®  
22 95 and Microsoft Windows® NT. Particularly, the Window® CE operating  
23 system implements a virtual memory system 48 that utilizes portions of physical  
24 memory 42. Thus, each application program 45 utilizes physical memory 42  
25 through virtual memory system 48. A notable characteristic of H/PC 20, however,



1 is the absence of secondary storage such as a hard disk. The virtual memory  
2 system in Windows® CE is limited to the available physical memory 42, and does  
3 not include secondary storage.

4 Operating system 44 implements a method of controlling memory usage in  
5 a system such as shown in Figs. 1 and 2, in which one or more application  
6 programs execute concurrently and in which the application programs compete  
7 with each other and with the operating system for available memory.

8 Generally, operating system 44 monitors memory usage and sets a plurality  
9 of memory usage thresholds at which different actions are taken to reduce or  
10 minimize current and future memory usage. In the actual embodiment of the  
11 invention, the memory thresholds are set in terms of remaining available or  
12 uncommitted memory pages, although the thresholds could also be specified in  
13 terms of used or committed memory.

14 Upon reaching increasingly critical memory thresholds, the operating  
15 system wields increasing control over one or more of application programs 45 to  
16 minimize memory usage. At less critical thresholds, the operating system politely  
17 interacts with application programs to limit or minimize their use of memory. For  
18 example, at a first or least critical memory usage threshold, the operating system  
19 requests one or more application programs to limit or minimize their current use of  
20 memory. At a second, more critical memory usage threshold, the operating system  
21 uses normal operating system messages to close application programs, thus  
22 allowing the application programs to shut down themselves.

23 At a third critical memory usage threshold that is more critical than the first  
24 two thresholds, the operating system simply and abruptly terminates one of the  
25 application programs, without allowing any further execution of the application

1 program. The application program is not given the opportunity to shut down  
2 itself.

3 Fig. 3 shows steps performed by operating system 44 in accordance with  
4 the invention. These steps are performed periodically or continuously, as  
5 described in more detail below, and do not necessarily follow the sequence  
6 suggested by the flowchart of Fig. 3. However, the flowchart is useful for  
7 understanding the relationships of the three memory usage thresholds used in the  
8 system.

9 A first step 100 comprises comparing current memory usage or availability  
10 against a usage or availability threshold that is deemed to be "most" critical. This  
11 memory threshold represents the highest level of memory usage, or the lowest  
12 level of free memory, that is considered acceptable for stable system operation. In  
13 Windows® CE, this is referred to as the critical memory threshold. If this  
14 threshold has been reached, a step 102 is performed of prompting a user to select a  
15 currently executing application program to be terminated. In the actual  
16 embodiment of the invention, the user is required to respond to this prompt; all  
17 other program activity ceases until the user responds.

18 Prompting the user is accomplished by way of a "System Out of Memory  
19 Dialog." This dialog box is a special, "system modal" dialog box that essentially  
20 freezes the rest of the system. The user is informed that memory is critically low,  
21 and is forced to choose which applications should be shut down.

22 Step 104 is then performed of terminating the selected program, without  
23 allowing its further execution. All of the selected program's threads are destroyed  
24 immediately, and all resources used by the program are closed or freed. This  
25 potentially causes a loss of data. However, the threshold that causes this is only

1 encountered when memory usage has reached a point where the system might  
2 become unstable without immediate remedial action. Thus, the drastic step of  
3 terminating a program is justified.

4 If the result of comparison 100 is negative, the operating system performs a  
5 second test 106, comparing current memory usage or availability against a usage  
6 or availability threshold that is referred to as an “intermediate” threshold. This  
7 threshold, referred to as a low memory threshold, is less critical than the critical  
8 memory threshold described above, and is reached while there is still enough  
9 memory available so that any particular application program can safely be allowed  
10 to shut itself down. If the result of test 106 is positive, a step 108 is performed of  
11 prompting the user to select a currently executing application program to be closed  
12 (using the System Out of Memory Dialog described above). Again, the user is  
13 required to respond to this prompt; all other program activity ceases until the user  
14 responds.

15 Step 110 is then performed of requesting the application to close itself. In  
16 the Windows® environment, this is done by sending a WM\_CLOSE message to  
17 the application’s message loop, giving the same effect as if the user had pressed  
18 the application’s *Close* button. The operating system gives the application a  
19 predefined time in which to close itself, such as eight seconds. If after that  
20 predefined time, memory is still low, the dialog box will reappear. Also, if at any  
21 time during those eight seconds, more memory is requested such that the amount  
22 of free memory goes below the critical memory threshold, the “System Out of  
23 Memory Dialog” discussed in conjunction with step 102 will immediately appear.  
24 Accordingly, applications should be configured to shut down without allocating  
25 very much additional memory.

1 If the result of comparison 106 is negative, the operating system performs a  
2 third test 112, comparing current memory usage or availability against a usage or  
3 availability threshold that is referred to as the "hibernation" critical threshold.  
4 This threshold is less critical than the other two thresholds, and thus occurs when  
5 there is still a relatively higher amount of available or free physical memory. If  
6 the result of test 112 is positive, a step 114 is performed of sending a "hibernate"  
7 message from the operating system to at least one of the application programs  
8 requesting it to minimize its current use of memory.

9 In the Windows® environment, only one of the concurrently executing  
10 programs is "active" at any particular time, even though multiple programs might  
11 be executing and partially visible. The active program is typically the one that has  
12 the focus, and is the one to which keyboard and mouse inputs are directed. In the  
13 Windows® CE environment, specifically, currently executing application  
14 programs are listed on the taskbar which is displayed to the user. The operating  
15 system maintains a "Z" order, indicating the relative positions of the applications'  
16 windows from front to back. This order also indicates the order in which the  
17 applications were last active: the rearmost application program is the least recently  
18 active. In the actual embodiment of the invention, the hibernate message of step  
19 114 is sent to a particular application program that has been least recently active.  
20 Thus, a currently active program will not be affected, at least initially. In practice,  
21 such messages are sent to a plurality of programs, in order according to which  
22 programs were least recently active. The messages are sent with short delays  
23 between messages, until available memory is again above the hibernation  
24 threshold.  
25

1 The particular mechanism for sending a message to an application program  
2 will vary depending on the particular operating system or computer architecture in  
3 use. In the Windows® environment, however, each application program has a  
4 main window that implements what is commonly referred to as a message loop.  
5 The operating system sends different types of messages to the application  
6 programs' message loops, such as messages that indicate keyboard or mouse  
7 activity. In the preferred embodiment of the invention, the message of step 114 is  
8 sent from the operating system through an application's message loop as a  
9 message having a pre-defined message ID.

10 An application program can respond to a hibernation message in any way it  
11 sees fit. Non-compliant application programs might simply ignore the messages.  
12 Ideally, however, an application program will take steps to minimize or reduce its  
13 current use of virtual and physical memory. Preferably, an application will first  
14 determine whether any portion of the application is visible to the user. If it is not  
15 visible, the application should store all data structures representing current context  
16 or state information, and release all other resources that can be reconstructed if and  
17 when needed in the future. Thus, the user will perceive no unusual activity, except  
18 perhaps a slight slowing of the system.

19 Specifically, in response to a hibernate message an application should  
20 perform the following steps:

- 21 (1) Free any large pieces of memory (such as caches) that were  
22 previously allocated by the operating systems virtual memory  
23 allocation function (VirtualAlloc in Windows® CE).  
24  
25

- 1 (2) Free as many GWES (graphics/window/event manager) objects as  
2 possible. Such objects include windows, bitmaps, and device  
3 contexts.
- 4 (3) Save state information and data to persistent storage for later  
5 restoration, and then free as much of the application heap as  
6 possible.

7 Although Fig. 3 is useful for understanding the invention conceptually, the  
8 comparison steps are not necessarily performed in a sequence as suggested in the  
9 flowchart. In operation, the hibernation memory threshold is the first line of  
10 defense against low memory situations. Step 112, which checks available memory  
11 against the hibernation threshold, is actually performed at periodic intervals such  
12 as every five seconds. If the hibernation memory usage threshold has been  
13 reached at any particular time, a hibernate message is sent to the least recently  
14 active application that has not already received such a message. The operating  
15 system maintains a flag indicating which applications have been sent such  
16 messages. If the condition continues, hibernation messages will be sent to  
17 additional applications.

18 No explicit action is taken to “unhibernate” an application. Rather, the  
19 application program reactivates itself as a result of activation by the user through  
20 normal Windows® CE commands such as by clicking on the program’s icon on  
21 the taskbar. The operating system notes when an application program is made  
22 active and resets the flag to indicate that the program is no longer in a hibernation  
23 state.

24 In addition to “hibernating” applications during periods of excessive  
25 memory usage, the operating system takes further memory conservation measures

1 when the hibernation threshold has been reached. For instance, the operating  
2 system shell will not allow browsing the file system using new browser windows  
3 (although existing browser windows are allowed to function normally). In  
4 addition, the operating system shell will not allow its internal icon display cache to  
5 be modified. Under normal memory conditions, the operating system shell will  
6 purge and rebuild its internal icon display cache periodically. In a hibernating  
7 state the shell needs to maintain consistency, and might not be able to rebuild its  
8 cache.

9 In spite of hibernation messages, the system may still run critically low on  
10 memory. This can happen, for example, when an active application continues to  
11 demand more memory while non-active applications are being hibernated. The  
12 two remaining memory thresholds (the low memory threshold and the critical  
13 memory threshold) are encountered when the system is very close to failing a  
14 memory allocation call. If this happens, the system displays the memory handler  
15 dialog box as described above. The user is informed that memory is critically low,  
16 and is forced to choose which application or applications should be shut down.  
17 After the dialog box is dismissed, each selected application is closed as described  
18 above. As a further memory conservation measure, the operating system shell will  
19 not allow a user to launch new applications from the operating system's user  
20 interface (such as from the "run" dialog box or by double clicking icons) when  
21 available memory is below the intermediate threshold.

22 The low memory threshold and critical memory threshold are checked  
23 (steps 100 and 106) when application programs attempt to allocate more virtual  
24 memory by invoking the *VirtualAlloc* interface of the Windows® CE operating  
25

1 system. The operating system maintains the following precautions in helping to  
2 prevent low memory conditions from occurring:

- 3 • When an application allocates memory, the system “filters” this  
4 request by limiting the memory size. This prevents a single application  
5 from stealing all available memory with one large allocation. When the  
6 system enters a low memory situation, the maximum memory limit is  
7 further reduced.
- 8 • The system monitors available memory and handles two levels of  
9 memory conditions: the Low Memory threshold, and the Critical Memory  
10 threshold (in addition to the hibernation threshold discussed above).

11 The table below defines values for these thresholds and maximum memory  
12 allocations for the two levels of low memory conditions, as used in the present  
13 embodiment of the invention. The names are defined simply to aid in describing  
14 the low memory scenarios below. The actual values are examples which might  
15 vary in different systems and with different memory configurations.

Item	Value	Description
<b>HIBERNATION_THRESHOLD</b>	128K	Minimum available system memory, below which defines a “low memory” system. A WM_HIBERNATE message is sent when the system memory falls below this value.
<b>LOWMEM_THRESHOLD</b>	64K	Minimum available memory size system must maintain when system is in a “low” memory state.
<b>LOWMEM_MAXSIZE</b>	16K	Maximum size allowed to be allocated (using VirtualAlloc) when system is in a “low” memory state.
<b>CRITMEM_THRESHOLD</b>	16K	Minimum available memory size system must maintain when system is in a “critical” memory state.
<b>CRITMEM_MAXSIZE</b>	8K	Maximum size allowed to be allocated (using VirtualAlloc) when



system is in a “critical” memory state.

Under a low memory condition, the system’s low memory handler appropriately responds to basically four situations:

- An application calls *VirtualAlloc* requesting a memory size greater than *LOWMEM\_MAXSIZE*. Any *VirtualAlloc* requesting a memory size greater than *LOWMEM\_MAXSIZE* will be failed if it would cause the amount of free physical memory in the system to go below the *LOWMEM\_THRESHOLD*. No System Out of Memory Dialog will be displayed.
- An application calls *VirtualAlloc* requesting a memory size less than *LOWMEM\_MAXSIZE*. If a *VirtualAlloc* requesting a memory size less than *LOWMEM\_MAXSIZE* would cause the amount of free physical memory in the system to go below the *LOWMEM\_THRESHOLD*, then the System Out of Memory Dialog is displayed. The user is allowed to either select some applications that the system will try to close, or to get more memory by taking it from the file system. The system sends *WM\_CLOSE* messages to the applications selected by the user. If an application marked to be closed does not shutdown within 8 seconds, an *End Task/Wait* dialog is displayed, giving the user the choice of terminating the application or waiting some more time.
- An application calls *VirtualAlloc* requesting a memory size greater than *CRITMEM\_MAXSIZE*. Any *VirtualAlloc* requesting a memory size greater than *CRITMEM\_MAXSIZE* will be failed if it would cause the amount of free physical memory in the system to go below the *CRITMEM\_THRESHOLD*.

- An application calls *VirtualAlloc* requesting a memory size less than *CRITMEM\_MAXSIZE*. If a *VirtualAlloc* requesting a memory size less than *CRITMEM\_MAXSIZE* would cause the amount of free physical memory in the system to go below the *CRITMEM\_THRESHOLD*, then the System Out of Memory Dialog is displayed. The user is allowed to either select some applications that the system will try to close, or to get more memory by taking it from the file system. The system calls *TerminateProcess* to terminate any applications selected by the user.

Before a call to *VirtualAlloc* is allowed to fail, additional steps are taken within operating system 44 to minimize physical memory usage. For example, stack scavenging is employed periodically to recover unused stack space. Unused stack space is virtual memory that has been committed for use by a stack, but that is not currently being used. Unused stack space results when a stack grows and then shrinks. When entire unused pages are left beyond the current stack pointer, such pages can be discarded or reclaimed for other use. Page discarding is another tactic that is used by operating system 44 to minimize the use of memory before failing a call to *VirtualAlloc*. Using this tactic, read-only pages are discarded if they have not been used recently.

In operating system 44, steps of reclaiming unused stack memory and discarding read-only memory pages are also performed as a result of reaching defined memory thresholds. These thresholds are preferably set in relation to the three thresholds described above, so that the reclaiming and discarding steps occur at slightly less critical thresholds than the more active steps shown in Fig. 3. In other words, reclaiming and discarding steps occur before requesting an

1 application to minimize its memory usage, before requesting an application  
2 program to close itself, and before terminating an application program.

3 Alternatively, an operating system in accordance with the invention might  
4 initiate reclaiming and discarding steps just prior to steps 100, 106, and 112 of Fig.  
5 3. If these steps were to free enough memory, further memory freeing steps could  
6 be skipped.

7 The invention provides an effective way of preventing memory usage from  
8 exceeding safe limits. The actions taken at less critical thresholds interfere as little  
9 as possible with a user's actual work, while increasing levels of memory usage  
10 result in necessarily more intrusive measures. However, these more intrusive  
11 measures are often avoided by the actions resulting from the less critical  
12 thresholds. Thus, a user is able to make very effective use of the limited physical  
13 memory available in popular, inexpensive computer devices.

14 In compliance with the statute, the invention has been described in  
15 language more or less specific as to structural and methodical features. It is to be  
16 understood, however, that the invention is not limited to the specific features  
17 described, since the means herein disclosed comprise preferred forms of putting  
18 the invention into effect. The invention is, therefore, claimed in any of its forms  
19 or modifications within the proper scope of the appended claims appropriately  
20 interpreted in accordance with the doctrine of equivalents.

1 **CLAIMS**

2       **1.**    A method of controlling memory usage in a computer system having  
3 limited physical memory, wherein one or more application programs execute in  
4 conjunction with an operating system, comprising the following steps:

5            setting a plurality of memory thresholds;

6            at increasingly critical memory thresholds, wielding increasing operating  
7 system control over said one or more application programs to minimize memory  
8 usage.

9  
10       **2.**    A system as recited in claim 1, wherein the step of wielding  
11 increasing operating system control comprises the following steps:

12            at a less critical memory threshold, interacting with at least one of the  
13 application programs to limit its use of memory;

14            at a more critical memory threshold, terminating at least one of the  
15 application programs without allowing its further execution.

16  
17       **3.**    A system as recited in claim 1, wherein the step of wielding  
18 increasing operating system control comprises the following step:

19            prompting a user to designate at least one of the applications programs and  
20 then requesting it to close itself.

21  
22       **4.**    A system as recited in claim 1, wherein the step of wielding  
23 increasing operating system control comprises the following step:

24            prompting a user to designate at least one of the applications programs and  
25 then terminating it without allowing its further execution.

1  
2           5.    A system as recited in claim 1, wherein the step of wielding  
3 increasing operating system control comprises the following steps:

4                at a first memory threshold, requesting at least one of the application  
5 programs to limit its use of memory;

6                at a second memory threshold, requesting at least one of the application  
7 programs to close itself;

8                at a third memory threshold, terminating at least one of the application  
9 programs without allowing its further execution.

10  
11           6.    A system as recited in claim 1, wherein the step of wielding  
12 increasing operating system control comprises the following steps:

13                at a first memory threshold, requesting at least one of the application  
14 programs to limit its use of memory;

15                at a second memory threshold, prompting a user to designate at least one of  
16 the application programs and then requesting it to close itself;

17                at a third memory threshold, prompting the user to designate at least one of  
18 the application programs and then terminating it without allowing its further  
19 execution.

20  
21           7.    A system as recited in claim 1, further comprising the following  
22 additional step:

23                at one or more of the memory thresholds, reclaiming unused stack memory.  
24  
25

1           **8.**    A system as recited in claim 1, further comprising the following  
2 additional step:

3                   at one or more of the memory thresholds, discarding read-only memory.  
4

5           **9.**    A computer-readable storage medium having computer-executable  
6 instructions for performing the steps recited in claim 1.  
7

8           **10.**   A computer-readable storage medium having instructions for  
9 controlling memory usage in a computer system having limited physical memory,  
10 wherein one or more application programs execute in conjunction with an  
11 operating system, the instructions being executable by the computer system to  
12 perform steps comprising:

13                   at a first memory usage threshold, requesting at least one of the application  
14 programs to close itself;

15                   at a second memory usage threshold that is more critical than the first  
16 memory usage threshold, terminating at least one of the application programs  
17 without allowing its further execution.  
18

19           **11.**   A computer-readable storage medium as recited in claim 10, the  
20 instructions being executable to perform additional steps comprising:

21                   before performing the requesting step, prompting a user to select one of the  
22 application programs to be closed;

23                   before performing the terminating step, prompting the user to select one of  
24 the application programs to be terminated.  
25

1           12.    A computer-readable storage medium as recited in claim 10, the  
2 instructions being executable to perform additional steps comprising:

3                before performing the requesting step, requiring a user to select one of the  
4 application programs to be closed;

5                before performing the terminating step, requiring the user to select one of  
6 the application programs to be terminated.

7  
8           13.    A computer-readable storage medium as recited in claim 10, the  
9 instructions being executable to perform an additional step comprising:

10               at a further memory threshold that is less critical than the first and second  
11 memory usage thresholds, requesting at least one of the application programs to  
12 limit its use of memory.

13  
14           14.    A computer-readable storage medium as recited in claim 10, the  
15 instructions being executable to perform an additional step comprising:

16               reclaiming unused stack memory before requesting at least one of the  
17 application programs to close itself and before terminating at least one of the  
18 application programs.

19  
20           15.    A computer-readable storage medium as recited in claim 10, the  
21 instructions being executable to perform an additional step comprising:

22               discarding read-only memory before requesting at least one of the  
23 application programs to close itself and before terminating at least one of the  
24 application programs.

1           16.    A computer-readable storage medium as recited in claim 10, the  
2 instructions being executable to perform additional steps comprising:

3               reclaiming unused stack memory and discarding read-only memory before  
4 requesting at least one of the application programs to close itself and before  
5 terminating at least one of the application programs.

6  
7           17.    A method of controlling memory usage in a computer system having  
8 limited physical memory, wherein one or more application programs execute in  
9 conjunction with an operating system, comprising the following steps:

10               at a first memory usage threshold, requesting at least one of the application  
11 programs to limit its use of memory

12               at a second memory usage threshold that is more critical than the first  
13 memory usage threshold, requesting at least one of the application programs to  
14 close itself;

15               at a third memory usage threshold that is more critical than the first and  
16 second memory usage thresholds, terminating at least one of the application  
17 programs without allowing its further execution;

18               reclaiming unused stack memory and discarding read-only memory before  
19 requesting at least one of the application programs to close itself and before  
20 terminating at least one of the application programs.

21  
22           18.    A method as recited in claim 17, wherein the reclaiming and  
23 discarding steps are performed at further memory usage thresholds that are set in  
24 relation to the second and third memory usage thresholds.



1           **19.**    A method as recited in claim 17, wherein the reclaiming and  
2   discarding steps are performed at further memory usage thresholds that are set in  
3   relation to the first, second, and third memory usage thresholds.

4  
5           **20.**    A method as recited in claim 17, further comprising the following  
6   additional steps:

7               before performing the requesting step, prompting a user to select one of the  
8   application programs to be closed;

9               before performing the terminating step, prompting the user to select one of  
10   the application programs to be terminated.

11  
12           **21.**    A method as recited in claim 17, further comprising the following  
13   additional steps:

14               before performing the requesting step, requiring a user to select one of the  
15   application programs to be closed;

16               before performing the terminating step, requiring the user to select one of  
17   the application programs to be terminated.

18  
19           **22.**    A computer-readable storage medium having computer-executable  
20   instructions for performing the steps recited in claim 17.

21  
22           **23.**    A computer system comprising:

23               a processor;

24               an operating system that is executable by the processor and that utilizes the  
25   physical memory;

1 a virtual memory system that includes physical memory but does not  
2 include secondary storage;

3 one or more application programs that utilize the virtual memory system;

4 wherein the operating system is configured to perform the following steps:

5 monitoring physical memory usage;

6 at increasingly critical physical memory usage thresholds, wielding  
7 increasing control over said one or more application programs to minimize  
8 physical memory usage.

9  
10 **24.** A computer system as recited in claim 23, wherein the step of  
11 wielding increasing control comprises the following steps:

12 at a less critical memory threshold, interacting with at least one of the  
13 application programs to limit its use of memory;

14 at a more critical memory threshold, terminating at least one of the  
15 application programs without allowing its further execution.

16  
17 **25.** A computer system as recited in claim 23, wherein the step of  
18 wielding increasing control comprises the following step:

19 prompting a user to designate at least one of the applications programs and  
20 then requesting it to close itself.

21  
22 **26.** A computer system as recited in claim 23, wherein the step of  
23 wielding increasing control comprises the following step:

24 prompting a user to designate at least one of the applications programs and  
25 then terminating it without allowing its further execution.

1  
2       **27.**    A computer system as recited in claim 23, wherein the step of  
3 wielding increasing control comprises the following steps:

4           at a first memory threshold, requesting at least one of the application  
5 programs to limit its use of memory;

6           at a second memory threshold, requesting at least one of the application  
7 programs to close itself;

8           at a third memory threshold, terminating at least one of the application  
9 programs without allowing its further execution.

10  
11       **28.**    A computer system as recited in claim 23, wherein the step of  
12 wielding increasing control comprises the following steps:

13           at a first memory threshold, requesting at least one of the application  
14 programs to limit its use of memory;

15           at a second memory threshold, prompting a user to designate at least one of  
16 the application programs and then requesting it to close itself;

17           at a third memory threshold, prompting the user to designate at least one of  
18 the application programs and then terminating it without allowing its further  
19 execution.

20  
21       **29.**    A computer system as recited in claim 23, wherein the operating  
22 system is further configured to perform the following additional step:

23           at one or more of the memory thresholds, reclaiming unused stack memory.  
24  
25

1           **30.**    A computer system as recited in claim 23, wherein the operating  
2 system is further configured to perform the following additional step:

3                   at one or more of the memory thresholds, discarding read-only memory.  
4

5           **31.**    A computer system as recited in claim 23, wherein the step of  
6 wielding increasing control comprises the following steps:

7                   at a first memory threshold, requesting at least one of the application  
8 programs to limit its use of memory;

9                   at a second memory threshold, prompting a user to designate at least one of  
10 the application programs and then requesting it to close itself;

11                  at a third memory threshold, prompting the user to designate at least one of  
12 the application programs and then terminating it without allowing its further  
13 execution;

14                  before prompting the user, reclaiming unused stack memory and discarding  
15 read-only memory.  
16

17           **32.**    A method of controlling memory usage in a computer system having  
18 limited physical memory, wherein one or more application programs execute in  
19 conjunction with an operating system, comprising the following steps:

20                   monitoring memory usage;

21                   when memory usage is high, sending a message from the operating system  
22 to at least one of the application programs requesting the application program to  
23 minimize its current use of memory.  
24  
25

1           **33.**    A method as recited in claim 32, further comprising a step of  
2 sending the message to the application program when memory usage reaches a  
3 defined threshold.

4  
5           **34.**    A method as recited in claim 32, wherein the application programs  
6 have respective message loops, the method further comprising a step of sending  
7 the message to the application program through its message loop.

8  
9           **35.**    A method as recited in claim 32, wherein the application programs  
10 have respective message loops, the method further comprising a step of sending  
11 the message to a particular application program that was least recently active.

12  
13           **36.**    A computer-readable storage medium having computer-executable  
14 instructions for performing the steps recited in claim 32.

15  
16           **37.**    A computer-readable storage medium having instructions for  
17 controlling memory usage in a computer system having limited physical memory,  
18 wherein one or more application programs execute in conjunction with an  
19 operating system, the instructions being executable by the computer system to  
20 perform steps comprising:

21           monitoring memory usage;

22           at a defined memory usage threshold, sending a message from the operating  
23 system to at least one of the application programs requesting the application  
24 program to minimize its current use of memory.

1           **38.**    A computer-readable storage medium as recited in claim 37,  
2 wherein the application programs have respective message loops, the instructions  
3 being executable to perform a further step of sending the message to the  
4 application program through its message loop.

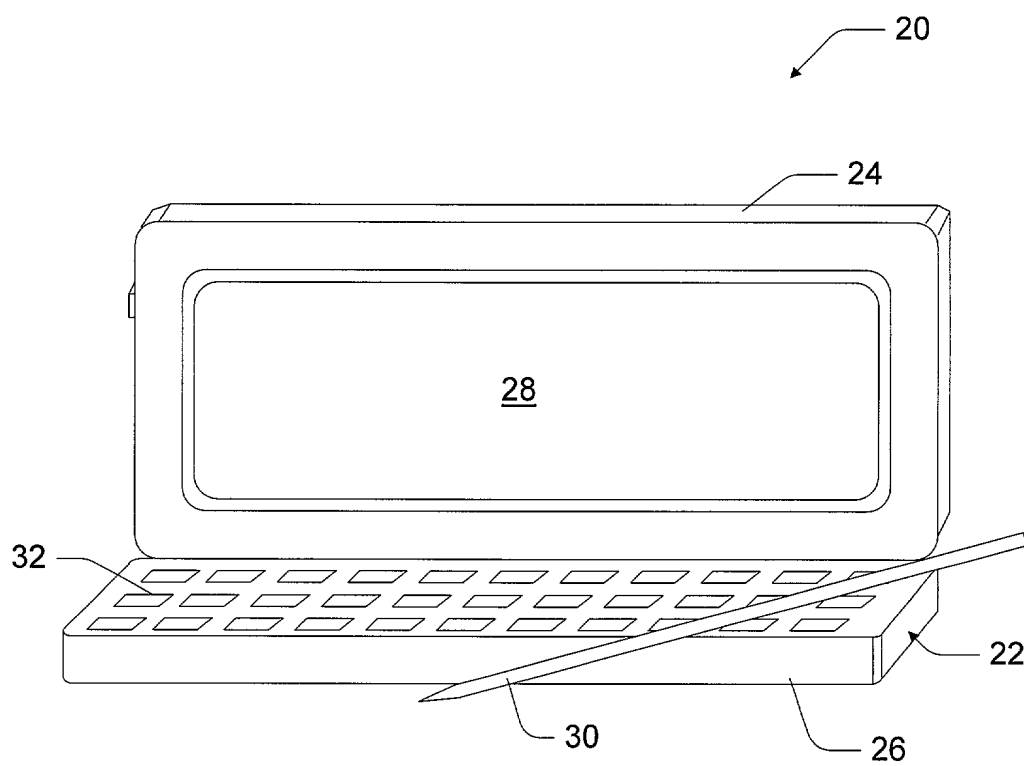
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6           **39.**    A computer-readable storage medium as recited in claim 37,  
7 wherein the application programs have respective message loops, the instructions  
8 being executable to perform a further step of sending the message to a particular  
9 application program that was least recently active.

10  
11           **40.**    An application program that resides in a computer-readable memory  
12 for execution by a processor in conjunction with an operating system, the  
13 application program having a message loop that receives messages from an  
14 operating system, the application program being responsive to a particular  
15 message received through its message loop to minimize its current use of memory.  
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1 **ABSTRACT**

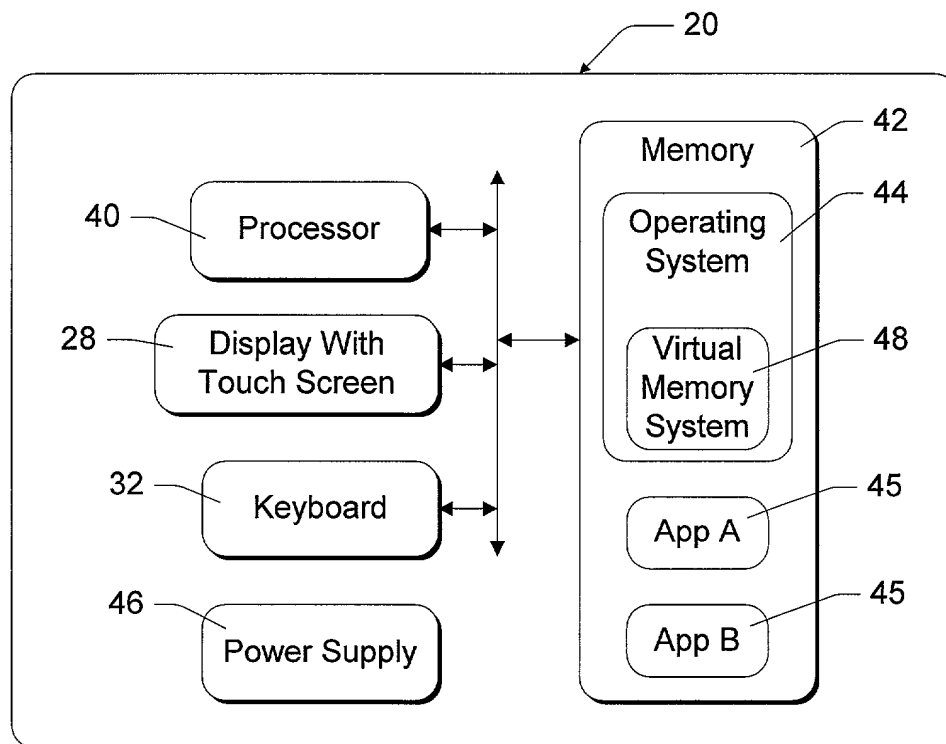
2 Described herein is a method of controlling memory usage in a computer  
3 system having limited physical memory, wherein one or more application  
4 programs execute in conjunction with an operating system. At a first memory  
5 usage threshold, the operating system requests at least one of the application  
6 programs to limit its use of memory. At a second memory usage threshold that is  
7 more critical than the first memory usage threshold, the operating system requests  
8 at least one of the application programs to close itself. At a third memory usage  
9 threshold that is more critical than the first and second memory usage thresholds,  
10 the operating system terminates at least one of the application programs without  
11 allowing its further execution.

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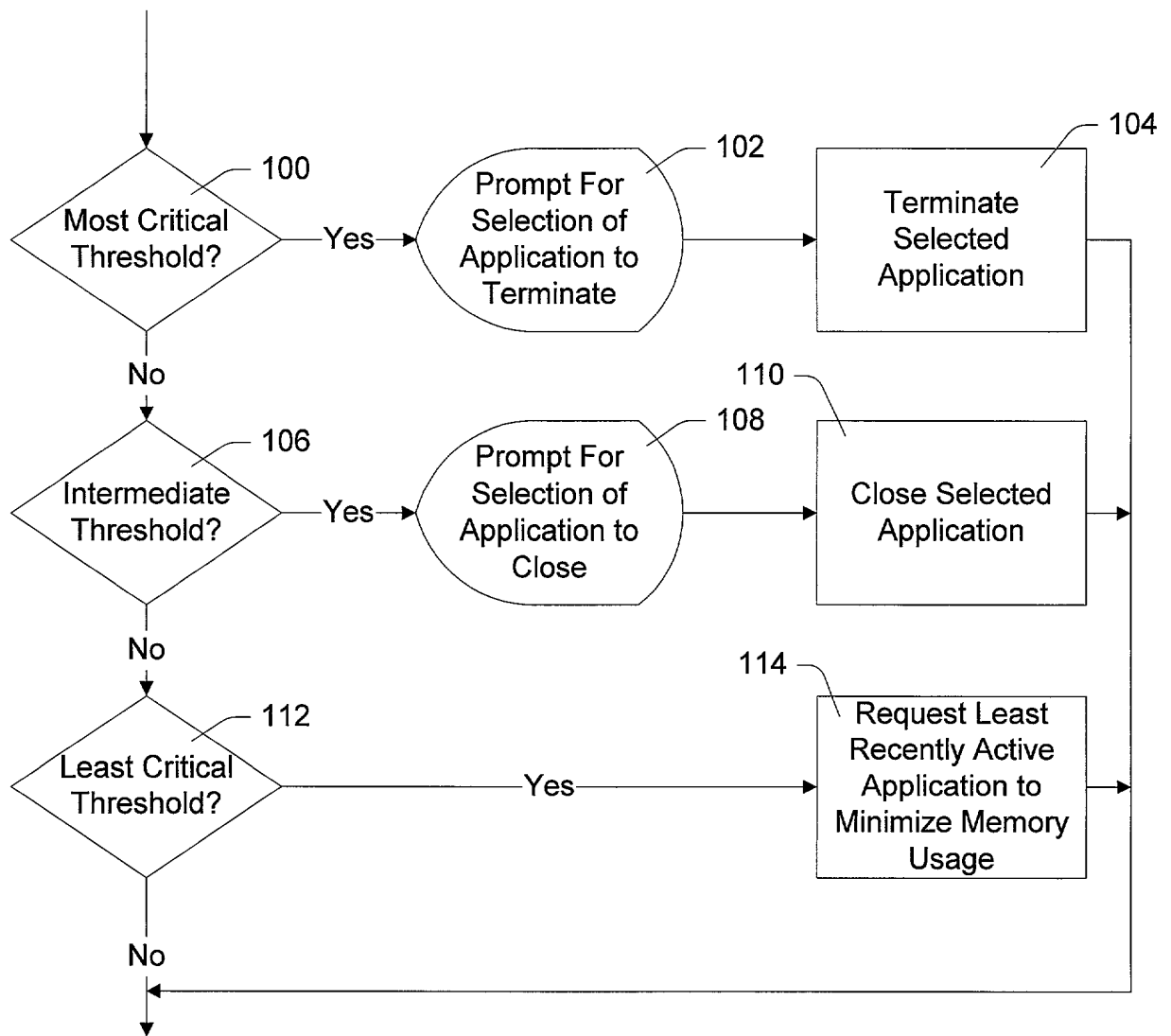


*Fig. 1*





*Fig. 2*



*Fig. 3*